

HYDROPHOBIC MATERIALTechnical Field

The invention relates to the technology of coatings. In particular, the invention relates to coating materials 5 which are hydrophobic, and to methods for making coatings from those materials.

Background Art

Hydrophobic coatings are water-proof coatings which 10 have immediate uses in reducing icing and fouling of other surface. Such coatings can also render protected surfaces resistant to attachment by water soluble electrolytes such as acids and alkalies, and by microorganisms.

In the past, surfaces have been protected against 15 encrustation, corrosion, icing and fouling by means of coatings containing polymer films, hydrophobic solid fillers and hydrophobic liquids. One disadvantage of the use of such coatings is that they do not achieve multi-purpose protection since they are not generally versatile 20 enough to protect against damage from a variety of causes.

It is well understood that the wettability of various materials is dependent on both the physical and chemical heterogeneity of the material. The notion of using the contact angle  $\theta$  made by a droplet of liquid on a surface 25 of a solid substrate as a quantitative measure of the wetting ability of the particular solid has also long been well understood. If the liquid spreads completely across the surface and forms a film, the contact angle  $\theta$  is  $0^\circ$ . If there is any degree of beading of the liquid on the 30 surface of the substrate, the surface is considered to be non-wetting. For water, the substrate surface is usually considered to be hydrophobic if the contact angle is greater than  $90^\circ$ .

- 2 -

Examples of materials on which liquid droplets have high contact angles include water on paraffin, in which there is a contact angle of about 107°. Many applications require a hydrophobic coating with a high contact angle of 5 at least 150°, and preferably at least 165°.

A "gel" is a substance that contains a continuous solid skeleton enclosing a continuous liquid phase. The liquid prevents the solid from collapsing, and the solid prevents the liquid from escaping. The solid skeleton can 10 be formed by linking colloidal particles together.

The present inventors have now developed methods for producing materials which, when coated on a surface, render that surface hydrophobic.

15 Summary of the Invention

In a first aspect, the present invention provides a method of forming a material capable of being applied to a surface, the method including the steps of:

- (a) providing precursors capable of reacting to form 20 a gel;
- (b) reacting the precursors together to form the gel;
- (c) adding a particulate material to the gel to form a mixture, the particulate material being capable of chemically bonding with the gel; and
- 25 (d) treating the mixture such that a modified gel is formed in which the particulate material is bound to the gel, and the modified gel is capable of forming a surface which is chemically hydrophobic and has a surface roughness which physically enhances the surface
- 30 hydrophobicity, such that water has a contact angle on the surface of at least 150°.

In a second aspect, the present invention provides a method of forming a coating on a substrate, the method

Received 6 April 2001

- 3 -

including steps (a) to (d) of the first aspect of the present invention, and further including the steps of:

(e) applying the modified gel to the substrate; and

(f) treating the applied modified gel such that a coating is formed on the substrate, the coating having a surface which is chemically hydrophobic and has a surface roughness which physically enhances the surface hydrophobicity, such that water forms a contact angle of at least 150°.

10 Preferably, the hydrophobic surface defined in either the first or the second aspect of the present invention is such that water forms a contact angle of at least 155° on it. More preferably, the contact angle is at least 160°. Even more preferably, the contact angle is at least 165°.

15 The hydrophobicity of the hydrophobic material when applied to a surface is preferably due to both the chemical properties of the modified gel and physical roughness of the material. It is envisaged that the modified gel of the first aspect of the present invention could be used to make solid materials in a range of possible forms, including bulk materials, thick coatings, and thin films.

20 The gel functions as a cross-linking agent which binds the particulate material, and attaches the modified gel to the substrate if required. Any known process for forming a gel may be used. Typically, the precursors defined in step (a) of the first and second aspects of the present invention at least include water, a solvent, and a metal alkoxide such as one of the following:

30 -tetramethoxysilane (abbreviated TMOS),  $\text{Si}(\text{OCH}_3)_4$   
-tetraethoxysilane (abbreviated TEOS),  $\text{Si}(\text{OCH}_2\text{CH}_3)_4$ ;  
-titanium tetraisopropoxide,  $\text{Ti}(\text{O}-\text{iso-C}_3\text{H}_7)_4$ ;  
-titanium tetramethoxide,  $\text{Ti}(\text{OCH}_3)_4$ ;

- 3a -

- titanium tetraethoxide,  $Ti(OC_2H_5)_4$ ;
- titanium tetrabutoxide,  $Ti[O(CH_2)_3CH_3]_4$ ;
- zirconium n-butoxide,  $Zr(O-n-C_4H_9)_4$ .

- 4 -

The solvent may comprise an alcohol such as methanol, ethanol, isopropanol, or butanol. Alternatively, the solvent may comprise hexane or diethyl ether.

For example, silicate gels may be synthesised by 5 hydrolysing an alkoxide dissolved in an alcohol with a mineral acid or base, or organic acid or base. The end product is a silicon dioxide network.

Step (b) of reacting the precursors together in the first and second aspects of the invention may be 10 implemented by refluxing the precursors for an extended period, such as a period in the range from 4 hours to 24 hours.

The particulate material defined in step (c) of the first and second aspects of the invention may consist of 15 particles having substantially equal diameters, or alternatively having a spectrum of diameters. Preferably, at least some of the particles have diameters within a range from 1 nm to 500  $\mu\text{m}$ . More preferably, the range is from 1 nm to 100  $\mu\text{m}$ . Still more preferably, the range is 20 from 1 nm and 1  $\mu\text{m}$ . Still more preferably, the range is from 1 nm and 100 nm, and even more preferably the range is from 5 nm and 50 nm. In one embodiment, the particulate material consists of particles with diameters in a range from 1 nm to 500  $\mu\text{m}$ . In a further embodiment, the primary 25 particle diameter of the particulate component is the range from 5 nm to 50 nm. In yet a further embodiment, the average particle size is in the range from 5 nm to 20 nm. In yet a further embodiment, the average particle size is about 15 nm.

30 Step (d) of the first and second aspects of the invention may be implemented by firstly thoroughly mixing the mixture, such as in an ultrasonic bath. Optionally, an alcohol such as isopropanol may be added to the mixture

- 5 -

during this step to aid in dispersing the particulate material. Secondly, the mixture may be refluxed to cause chemical bonding between the particulate material and the gel.

5 Step (e) of applying the modified gel to a substrate may be carried out by any known technique of forming a coating from a liquid, such as spin coating, dip coating or spray coating.

Step (f) may involve drying the applied modified gel  
10 until a solid coating is formed. There may be solvents which need to be removed from the modified gel, and in such a case, the drying may include heating the applied modified gel to a temperature which is at least high enough to evaporate the solvents. It will be appreciated  
15 that the drying temperature will depend on the melting point of the substrate and the type of gel. The drying time for a particular application will usually depend on the temperature used, and to some extent on the thickness of the coating. In the case of silica coatings, it has  
20 been found that a heating temperature of in the range from 120°C to 400°C over a period of 10-30 minutes is suitable when the substrate is capable of withstanding such a temperature. Vacuum drying, or a combination of vacuum drying and heating, may be preferable when the substrate  
25 has a low melting point.

Elasticity and flexibility of the coating may be enhanced by adding a polymer component to the gel during step (c). Alternatively, the polymer component may be added to the gel either directly before or directly after  
30 step (c). The polymer component preferably bonds with the gel and particulate material during step (d), and is preferably either hydrophobic, or rendered hydrophobic by the reaction in step (d).

- 6 -

Where a polymer component is mixed into the gel, the method may further include a step prior to step (d) of adding a surface modifier to the gel for enhancing the intrinsic chemical hydrophobicity of the hydrophobic surface. The surface modifier may additionally enhance bonding between the gel and particulate material. The surface modifier may be a compound containing one or more condensation cure groups and one or more hydrophobic groups. The one or more condensation cure groups may include one or more of the following groups: acetoxy; enoxy; oxime; alkoxy; or amine. The surface modifier may comprise  $\text{SiR(OAc)}_3$ , where R is a hydrophobic group such as methyl, ethyl, vinyl, or trifluoropropyl, and Ac is an acetyl group. In a preferred embodiment, the particulate material comprises silica, the gel comprises a silicate gel, and the surface modifier comprises methyltriacetoxysilane. The step of adding the surface modifier may take place either immediately before step (c), during step (c), or immediately after step (c).

The gel, the particulate material, and optionally the polymer component, preferably form a slurry when mixed and reacted together in step (d) of the first and second aspects of the invention.

In a preferred embodiment, the particulate material consists of flame-hydrolysed silica powder, and the gel precursors include a compound capable of forming a silicon dioxide gel, such as either TMOS or TEOS. A suitable polymer component in this case is polydimethylsiloxane (PDMS), a polymer with hydroxyl groups terminating the ends of each chain. The resultant modified gel consists of silica particles chemically bound to a siloxane network, and surrounded by liquid.

- 7 -

Flame-hydrolysed silica powder is relatively inexpensive and commercially available as Aerosil™ silica powder from Degussa Limited with particles having a primary size in the range of 5-50 nm. Although flame-  
5 hydrolysed silica particles are initially hydrophilic, the surface chemistry is changed during step (d) by converting silanol functional groups ( $\equiv\text{Si}-\text{OH}$ ) on the surfaces of the particles, to siloxane bonds ( $\equiv\text{Si}-\text{O}-\text{Si}\equiv$ ). This interaction has the advantage of rendering the particles hydrophobic  
10 through reaction with the PDMS or a surface modifier. A modified gel made in this way is particularly hydrophobic for two reasons. Firstly, the chemical properties of siloxane bonds make it inherently hydrophobic. Secondly, the small size of the particles in the flame-hydrolysed  
15 silica gives the modified gel a small-scale roughness which increases the hydrophobicity of the silicon dioxide.

Although silica and silica-based particles are preferred, other materials of hydrophobic character which can be prepared with a sufficiently small particle size  
20 could be used in conjunction with a hydrophobic gel capable of bonding to the particles. Examples include particles and gels formed from a metal oxide, such as titanium dioxide. For instance, titanium dioxide particles could be reacted with a titanium dioxide gel formed from  
25 titanium tetraisopropoxide.

A specific embodiment of the first and second aspects of the invention will now be described. In step (a) the precursors are 5 g of TEOS, 1.7 g of water acidified with HCl to a pH of approximately 4, and 2.7 g of ethanol. In  
30 step (b) the mixture is refluxed for 6-36 hours to form a silica gel. In step (c) the particulate material added to the gel is 0.5 g to 2.5 g of flame-hydrolysed silica powder, forming a mixture. About 0.5 g to 5 g of PDMS and

- 8 -

approximately 50 g of isopropanol is then added to the mixture. In step (d), the reactants are mixed well and vibrated in an ultrasonic bath for 15 to 30 minutes, forming a uniform slurry. The slurry is then allowed to 5 reflux for another 6-24 hours to make the silica gel chemically bond with the silica powder and PDMS. The slurry is the modified gel which can be used to form a coating according to the second aspect of the present invention. In a preferred embodiment, step (e) includes 10 depositing drops of the slurry onto a substrate while the substrate is spinning. Typical substrates are metals, glasses, and ceramics. The substrate is spun at spin rate of 1000 to 2000 rpm. In step (f) the coated substrate is placed in an oven at a temperature of about 400°C for 10 15 to 30 minutes.

In a third aspect, the present invention provides a modified gel produced by the method according to the first aspect of the present invention.

In a fourth aspect, the present invention provides an 20 object having a surface, at least a portion of which is coated with a hydrophobic coating formed from a modified gel made by the method according to the first aspect of the present invention.

In a fifth aspect, the present invention provides a 25 hydrophobic coating produced by the method according to the second aspect of the present invention.

In a sixth aspect, the present invention provides an object having a surface, at least a portion of which is 30 coated with a hydrophobic coating produced by the method according to the second aspect of the present invention.

In a seventh aspect, the present invention provides a use of the method according to the second aspect of the

- 9 -

present invention to coat at least a portion of a surface of an object.

Surfaces which can be treated with the hydrophobic coating include metals, alloys, glasses, ceramics, composites, but can also include other materials. The surface treatment can be used to inhibit corrosion or the formation of crystallisation centres. The treatment can be used to prevent icing on surfaces, to produce anti-gripping hydrophobic coatings for aboveground fixed facilities such as buildings and other structures, to provide anti-icing and anti-corrosion coatings for aircraft, or to provide anti-icing, anti-fouling and anti-corrosion coatings for maritime and inland waterway vessels. A hydrophobic anti-fouling coating formed from silica has the advantage that it is much less toxic to the marine environment than most currently-available coatings.

Other uses include increasing the resistance of roofs to microflora colonisation. The hydrophobic coatings may also be used for extending the survivability, performance, and reliability of instruments and equipment.

Still other uses envisaged for the hydrophobic coatings include reducing drag in vessels such as canoes, yachts, ships, and other watercraft, improving the corrosion resistance of cooling systems in internal combustion engines utilising closed heat exchangers having liquid heat transfer agents, providing anti-corrosive and anti-icing coatings for undercarriages of vehicles such as tractors and combines and for agricultural machinery in general.

The hydrophobic coating according to the present invention may also be used to waterproof building foundations and structures and radioactive waste storage facilities, extend the operating service life of water

- 10 -

cooling towers, protect railroad ties from microflora,  
provide anti-icing coatings for cooling chambers,  
refrigerators and chillers, extend the life cycle of  
hydroelectric power dams, and improve the efficiency of  
5 wind-driven motors. Metal parts coated in the hydrophobic  
coating would be less prone to rust because water would be  
repelled from the metal surface. The hydrophobic coating  
could also be used on windows, such as car windscreens, if  
the coating is largely transparent to visible light.

10 Throughout this specification, unless the context  
requires otherwise, the word "comprise", or variations  
such as "comprises" or "comprising", will be understood to  
imply the inclusion of a stated element, integer or step,  
or group of elements, integers or steps, but not the  
15 exclusion of any other element, integer or step, or group  
of elements, integers or steps.

In order that the present invention may be more  
clearly understood, embodiments will be described with  
reference to the following examples and the accompanying  
20 drawings.

#### Brief Description of Drawings

Figure 1 is an image produced by a scanning electron  
microscope at a magnification of 5,000 for a silica  
25 hydrophobic coating; and

Figure 2 is higher magnification scanning electron  
microscope image (x100,000) for the same hydrophobic  
coating shown in Figure 1.

#### 30 Modes for Carrying Out the Invention

A method of making a silica-based hydrophobic coating  
will now be described. The two fundamental chemical  
reactions of the sol-gel process in this case are:

- 11 -

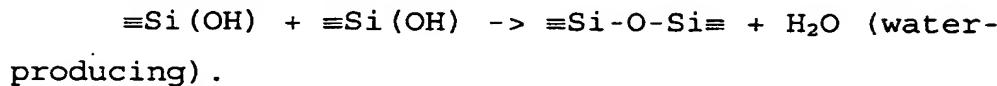
(1) hydrolysis,



(2) condensation,



5 (alcohol-producing), and

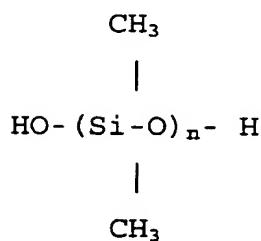


The hydrolysis and condensation reactions are usually concurrent.

10 The precursor used for preparing the gel is tetraethoxysilane ( $\text{Si(OCH}_2\text{CH}_3)_4$ ), and the particulate material is flame-hydrolysed silica powder (<sup>Aerosil™</sup> silica). The silica powder has a primary particle size of 5 to 50 nm, and the specific surface of the powder is  
 15 about 50-600 m<sup>2</sup> /g. The large specific surface is an important characteristic of the silica since it influences the surface roughness of a coating, and is thereby linked to the hydrophobicity of the coating.

The polymer component is polydimethylsiloxane

20 (PDMS) :



PDMS is a polymer which is unusually resistant to heat, being able to withstand temperatures up to about  
 30 400°C without deformation. It also has high electrical resistance, and is able to withstand outdoor exposure to UV radiation with little or no deformation or degradation for at least 10 years. PDMS is terminated with hydroxyl

- 12 -

groups which function as sites where the PDMS chains are able to react with and bond to the silica gel or silica particles. The PDMS becomes hydrophobic when the hydroxyl groups are removed. The PDMS used in this embodiment has a 5 viscosity of about 90-20,000 cSt.

The modified gel is preferably formed as a slurry which can then be applied to a substrate to form a coating. Known techniques for forming conventional gel coatings can be used. One technique is to paint the slurry 10 onto a substrate, but the uniformity of the coating produced is variable. A second technique is dip coating. The substrate is immersed in the slurry and withdrawn at a particular rate. A third technique is spin coating. In this technique, the substrate is attached to horizontal 15 platform capable of spinning at a high rate, such as a few thousand rpm. The slurry is deposited drop-wise onto the substrate whilst it is spinning, and results in a highly uniform coating. The coating thickness can be controlled by adjusting the spin rate or the volume of slurry 20 deposited. A further coating method is spray coating. A spray solution of the slurry is sprayed onto a substrate at an appropriate distance, flow rate and length of time such that a uniform coating of adequate thickness is formed.

25

#### **Example 1**

A silica hydrophobic coating has been prepared using the following procedure:

- Mix together:

30           5 g of TEOS;

          1.7 g of water, acidified with HCl to a pH of approximately 4; and

- 13 -

2.7 g of ethanol. Reflux the mixture for about 6-36 hours to form the silica gel.

- Add to the mixture:

0.5 to 5 g of PDMS with viscosity of 90-150 cSt;

5 0.5 to 2.5 g of <sup>Aerosil™</sup> 200 (flame-hydrolysed silica powder with an average individual particle of size 15 nm) obtained from Degussa Limited; and approximately 50 g of isopropanol.

- Mix the reactants well and vibrate in an ultrasonic bath 10 for 15 to 30 minutes to disperse the particles and form a uniform slurry. Typical vibration frequencies of about 40 kHz are sufficient.
- Remove the reactants from the ultrasonic bath, and reflux for about 6 hours. The resultant slurry is now 15 suitable for preparing hydrophobic coatings.
- Deposit drops of the slurry onto a substrate using spin coating. A spin rate of 1000 to 2000 rpm has been found to be sufficient, but will depend on the viscosity of 20 the slurry, the coating thickness required, and the surface of the substrate. A range of substrate types can be used, including metals, glasses, silicon, ceramics, polymers, and composites. However, the substrate should be reasonably flat.
- Place the coated substrate in an oven at a temperature 25 of about 400°C for 10 to 30 minutes.

Figures 1 and 2 show scanning electron microscope images of the surface of a hydrophobic coating produced using the above technique. The surface is viewed at a 30 magnification of 5,000 (Figure 1) and 100,000 (Figure 2). It is clear from the images that the coating has an extremely rough surface. Moreover, the dimensions of the

- 14 -

surface roughness span at least three orders of magnitude, ranging from tens of nanometres to tens of microns.

**Example 2**

5 In this comparative example, example 1 was repeated except that the amount of Aerosil 200 was changed to 1.5g. With the higher PDMS/Aerosil ratio, there should be more bonding between silica particles without decreasing the hydrophobicity.

10

**Example 3**

In this comparative example, example 1 was repeated except that the amount of TEOS was reduced from 5g to 2.5g. It was found that with less TEOS acting as a cross-linking agent, the hydrophobicity of the coating does not decrease. With a comparatively higher PDMS content in this coating, the flexibility of the coating might increase. However, the durability of the coating might decrease due to less bonding between silica particles, and between 20 particles and the substrate.

**Example 4**

In this comparative example, example 2 was repeated except that the amount of TEOS was reduced from 5g to 2.5g. It was found that with this moderate TEOS/Aerosil ratio and PDMS/Aerosil ratio, the final coating possesses good durability and flexibility.

**Example 5**

In this comparative example, example 1 was repeated except that the viscosity of PDMS used was increased from 30 90-150 cSt to 2,000 cSt. With longer PDMS chains in the coating, the flexibility of the coating might increase.

- 15 -

**Example 6**

In this comparative example, example 2 was repeated except that the viscosity of PDMS used was increased from 90-150 cSt to 2,000 cSt.

5

**Example 7**

In this comparative example, example 3 was repeated except that the viscosity of PDMS used was increased from 90-150 cSt to 2,000 cSt.

10

**Example 8**

In this comparative example, example 4 was repeated except that the viscosity of PDMS used was increased from 90-150 cSt to 2,000 cSt.

15

**Example 9**

In this comparative example, example 1 was repeated except that the viscosity of PDMS used was increased from 90-150 cSt to 20,000 cSt or higher. With even longer PDMS 20 chains in the coating, the flexibility of the coating might increase further.

**Example 10**

In this comparative example, example 2 was repeated 25 except that the viscosity of PDMS used was increased to 20,000 cSt.

**Example 11**

In this comparative example, example 3 was repeated 30 except that the viscosity of PDMS used was increased to 20,000 cSt.

- 16 -

**Example 12**

In this comparative example, example 4 was repeated except that the viscosity of PDMS used was increased to 20,000 cSt.

5

**Example 13**

In this comparative example, example 1 was repeated except that Aerosil 200 was replaced by Aerosil 8202 (average individual particle size of 17 nm), which was 10 also obtained from Degussa Limited. It was found that with these particles the hydrophobicity of the resultant coating does not decrease substantially.

**Example 14**

15 In this comparative example, example 1 was repeated except that the Aerosil 200 was replaced by tin oxide powder with an average particle size of about 300-500 nm. It was found that with the significant increase in particle size, the hydrophobicity of the coating 20 decreased, but not substantially. This may suggest that hydrophobicity is influenced by surface roughness, and to a lesser extent by particle size.

**Example 15**

25 In this comparative example, example 1 was repeated except that the Aerosil 200 was replaced by antimony(III) oxide powder with an average particle size of around 5,000 nm. It was found that this significant increase in particle size substantially decreased the hydrophobicity 30 of the coating.

Table 1 below shows the results of contact angle measurements made on coatings produced in examples 1-14.

- 17 -

**Example 16**

In this example, a silica hydrophobic coating was prepared using hexane instead of ethanol, and included a surface 5 modifier.

A mixture comprising 1.5g of tetraethylorthosilicate (TEOS), 150mg of water (acidified by HCl to pH ~4), and 50ml hexane was refluxed for 4 hrs. To this reaction 10 system, the following were added: 2 g of PDMS with hydroxyl groups terminated (viscosity varied from 90-50,000 cSt); 0.5g original Aerosil silica powder (not hydrophobically treated); 1.5g of Methyltriacetoxysilane; and about 50ml hexane. The reactants were mixed well and 15 vibrated in an ultrasonic bath at a frequency of about 40kHz for 15 to 30 minutes such that the particles were dispersed and a uniform slurry was formed. The reaction mixture was removed from the ultrasonic bath and the resultant slurry was used to form a hydrophobic coating 20 using spray coating. During spray coating, the slurry is sprayed onto the substrate at an appropriate distance, flow rate, and length of time to form a uniform coating. After the substrate had been coated with the slurry, the 25 so-formed hydrophobic coating was heat treated to remove the residue solvents. Curing can occur at 120-400°C for 10-30 min. The contact angle of the coating was 155°.

**Example No.              Contact Angle (degrees)**

30	1	167
	2	164
	3	167
	4	153

- 18 -

	5	158
	6	174
	7	169
	8	145
5	9	164
	10	158
	11	172
	12	170
	13	159
10	14	151
	15	115
	16	155

**Table 1.**

15        It will be appreciated by persons skilled in the art  
that numerous variations and/or modifications may be made  
to the invention as shown in the specific embodiments  
without departing from the spirit or scope of the  
invention as broadly described. The present embodiments  
20      are, therefore, to be considered in all respects as  
illustrative and not restrictive.